IFW PATENT

Attorney Docket No.: INTEL1250-1 (P13830X)

plicants:

Chan, et al.

Art Unit:

1632

Serial No.

10/672,149

Examiner

Not Yet Assigned

Filed:

September 26, 2003

Title:

METHODS AND DEVICE FOR DNA SEQUENCING USING SURFACE

**ENHANCED RAMAN SCATTERING (SERS)** 

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. 1.97, enclosed are references relating to the above-identified application. For the convenience of the Examiner, these references are listed on the attached Form PTO-1449 and a copy of Items E through I are enclosed herewith.

It is respectfully requested that these references be considered in the examination of this application and their consideration be made of written record in the application file.

Each item contained herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on <u>January 26, 2005</u>, in an envelope addressed to:, Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Stephanie Sharrett

GT\6432077.1 1090132-27 In re Application of: Chan, et al.

Application No.: 10/672,149

Filed: September 26, 2003

Page 2

This Information Disclosure Statement is being filed prior to receipt of a First Office Action on the merits. Therefore, no fee is deemed necessary. However, the Commissioner is hereby authorized to charge any amounts required by this filing, or credit any overpayment, to Deposit Account No. 07-1896.

Respectfully submitted,

**PATENT** 

Attorney Docket No.: INTEL1250-1 (P13830X)

Date: January 26, 2005

Michael P. Reed, J.D., Ph.D. Registration No.: 45,647 Telephone: (858) 638-6754

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# PATENT ATTORNEY DOCKET NO. INTEL1250-1(P13830X)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Chan, et al.

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Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

## TRANSMITTAL SHEET

Sir:

Transmitted herewith for the above-identified application, please find:

- (1) Supplemental Information Disclosure Statement (2 pages);
- (2) PTO-1449 Form (1 page);
- (3) Five (5) References;
- (4) International Search Report (7 pages); and
- (5) Return postcard;

#### **CERTIFICATION UNDER 37 CFR §1.8**

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, January 26, 2005 in an envelope addressed to: Mail Stop Amendment: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Stephanie Sharrett

Name of Person Mailing Paper

Signature

January 26 200

In the Application of Chan, et al.

**PATENT** Attorney Docket No.: INTEL1250-1 (P13830X)

Application Serial No.: 10/672,149

Eled: September 26, 2003

No fee is deemed necessary in connection with the filing of the these papers. However, if fee is required, authorization is given to charge the amount of this fee to Deposit Account No. 07-1896. A duplicate copy of this Transmittal Sheet is enclosed.

Respectfully submitted,

Date: January 26, 2005

Michael P. Reed, J.D., Ph.D. Registration No.: 45,647 Telephone: (858) 638-6754 Facsimile: (858) 677-1465

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Customer No. 28213

U.S. Department of Commerce Patent and Trademark Office	Docket No.: Serial No.:   INTEL1250-1 (P13830X) 10/672,149	
	Applicants: Chan, et al.	
INFORMATION DISCLOSURE SPACEMENT	Filing Date:	Group Art Unit:
BY APPLICANT	September 26, 2003	1632

#### **U.S. PATENT DOCUMENTS**

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	A	6,514,767	2/4/03	Michael Natan	436	166	
	В	6,608,716	8/19/03	Safonov, et al.			
	С	2002/058273	5/16/02	Edward Shipwash			
	D	2003/058799	3/27/03	Berlin, et al.			

#### **FOREIGN PATENT DOCUMENTS**

EXAM. INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES/NO)

# OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

Е	Doering, et al., "Spectroscopic Tags Using Dye-Embedded Nanoparticles and Surface –Enhanced Raman Scattering", Analytical Chemistry, :5-9
F	Kneip, et al., "Detection and Identification of a Single DNA Base Molecule Using Surface-Enhanced Raman Scattering (SERS)" Physical Review E. Statistical Physics, 57(6):R6281-R6284, (1998).
G	Stephan, et al., "Towards a General Procedure for Sequencing Single DNA Molecules", J. of Biotechnology, 86(3):255-267 (2001).
Н	Mulvaney, et al., "Glass-Coated, Analyte-Tagged Nanoparticles: A New Tagging System Based on Detection with Surface-Enhanced Raman Scattering", Am Chem Soc. 19:4784-4790 (2003).
I	Vo-Dinh, et al., "Surface-Enhanced Raman Spectroscopy Using Metallic Nanostructures," Trac. Trends in Anal. Chem. 17(8-9):557-582 (1998).
	,

EXAMINER	DATE CONSIDERED
GT\6432089.1 1090132-27	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.